

FINTELLIX

Regulatory Intelligence



Regulatory Intelligence

Smart and configurable platform to scan the regulatory horizon, and manage existing or new compliance risks

Challenge

Do unsophisticated horizon scanning processes impede your ability to efficiently mitigate compliance risk? Does it feel like the right time to incorporate advanced technologies like artificial intelligence and automation, in your compliance workflows but you do not know where to begin?

Highlights

- **Compliance risk mitigation**
With increasing costs of compliance and non-compliance, FIs need an efficient way of foreseeing and mitigating their compliance risk.
- **Increase in complexity**
With the increasing complexity in an ever-changing and intricate global economy, the regulators are more prolific and proactive in tightening their grasp on compliance rules and guidelines.
- **On-time compliance**
Ensuring timely diligence, measurement, follow-up, and action is the right way to mitigate financial, and non-financial losses resulting from non-compliance.
- **Lack of a common goal**
There is nonuniformity in the approach and action plan devised towards meeting a new regulation.
- **Silos**
New regulations and directives affect different departments and teams within a department, which often work in silos in the absence of a centralised platform.
- **No automation**
As automation takes over tedious and mundane tasks, expert labour can be diverted to more crucial and demanding tasks.

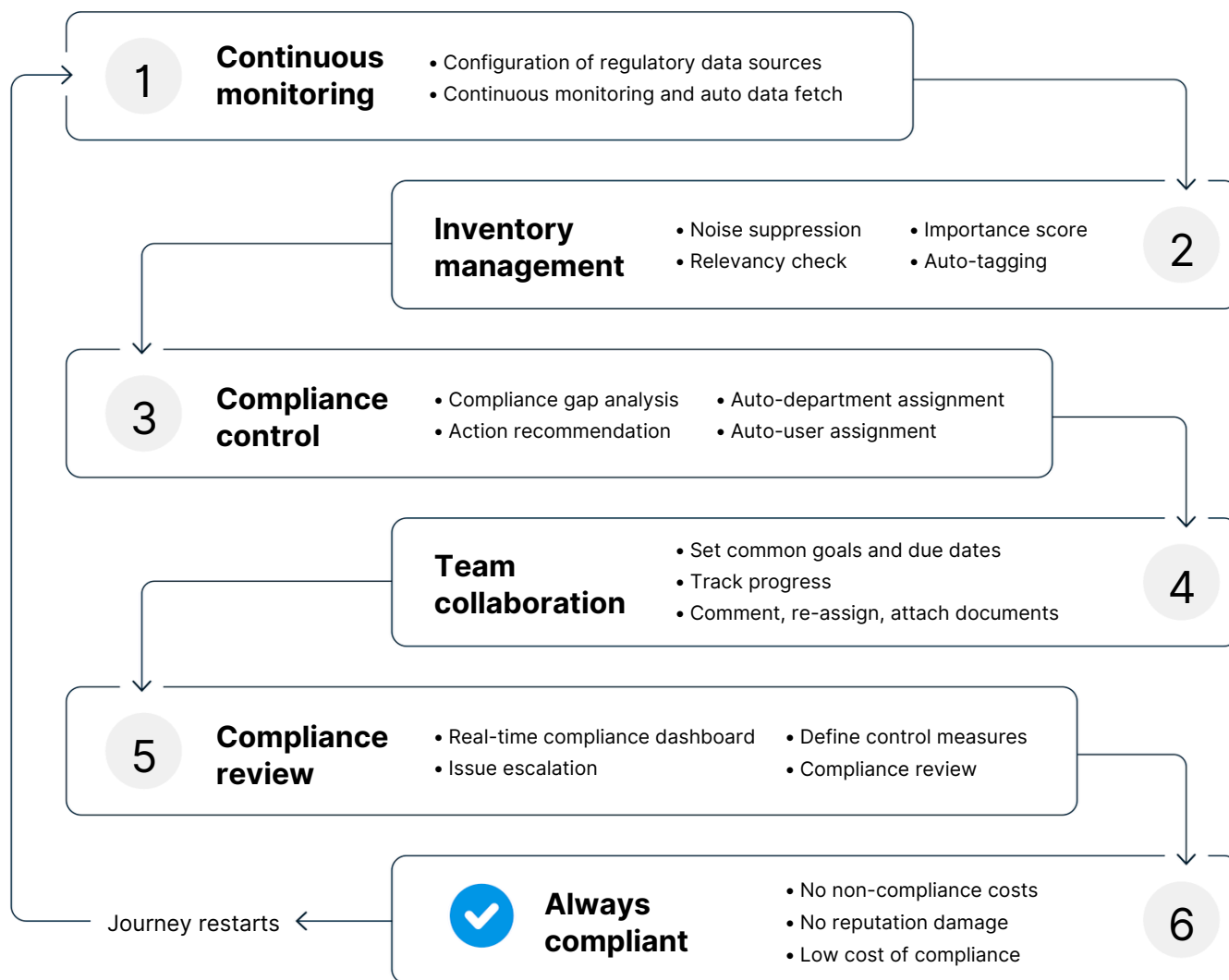


Automated regulatory intelligence solution



Plug the inefficiencies and break the silos by streamlining your disintegrated and fragmented regulatory monitoring and regulatory change management workflows with the utilisation of the latest in technological advancements and intelligent software management.

Regulatory intelligence journey



Alerts volume

64,152

global alerts in 2021

Non-compliance cost

US \$8B

AML/CFT fines in 2022

Why should you choose data and regulatory solutions?



Continuous monitoring

Configure as many public and private sources (websites, blogs) to be continuously tracked.



Auto data fetch

Our bots collect any new data as soon as it is updated or changed on the configured sources.



Noise suppression

Mechanisms designed to filter out unnecessary noise based on some configurable dimensions.



Relevance and importance

Our intelligent algorithm assesses your business profile and calculates an importance score for each new guideline or regulation.



Personalised listing

After the processing is done, the notifications are personalised for each user and listed in an ordered manner.



Compliance gap analysis

Ensure that you always stay one step ahead of the latest regulations and guidelines.



Recommended actions

Based on the latest guidelines or regulations, our platform recommends an action to help you always stay compliant.



Compliance review and assessment

Assess and review compliance metrics in real-time through a dashboard and escalate issues leading up to non-compliance.



Visit fintellix.com or reach out at info@fintellix.com

About Fintellix India Pvt Ltd.

Fintellix is operating in the intersection of regulators and regulated entities by providing cutting edge risk, regulatory, supervisory and data analytics solutions.